Neural Distributed Compressor Does 'Binning'

Ezgi Ozyilkan

Neural Compression Workshop @ ICML 2023 Honolulu, HI | July 29, 2023

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Distributed Source Coding

Motivation: Distributed Source Coding



















server broadcasts model parameters

server









clients update their models based on local data





clients send model updates

server





Motivation: Distributed Source Coding Federated learning. correlated client ***** client ***** **** client e.g., next-word prediction

clients send model updates

server





"[...] despite the existence of potential applications, the conceptual importance of distributed source coding has not been mirrored in **practical data compression**."

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J. Ballé et al., "End-to-end Optimized Image Compression", International Conference on Learning Representations (ICLR), 2017.

Particularly, for *general sources*.

Learning-based compressors (e.g., Ballé et al., 2017) may help.

Simpler special case: Rate-distortion (R-D) with side information



A. Wyner and J. Ziv, "The rate-distortion function for source coding with side information at the decoder", IEEE Transactions on Information Theory, 1976. 5



measure. The R-D function for X when Y available at the decoder is:

 $R_{WZ}(D) = \min(I(X; U) - I(Y; U)),$

where the minimization is over all p(u|x) and all functions g(u, y) satisfying $\mathbb{E}_{p(x,y)p(u|x)}d(x,g(u,y)) \leq D \; .$

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<u>**Theorem.**</u> Let (X, Y) be correlated i.i.d. $\sim p(x, y)$, and let $d(x, \hat{x})$ be a distortion



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'discount'



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linear!

Operational schemes

Operational schemes With Artificial Neural Networks (ANNs).

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R
















Marginal formulation.



R

















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Conditional formulation.

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One-shot compression.



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One-shot compression.

High-order entropy coding and Slepian-Wolf coding.



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I(X; U) - I(Y; U) = I(Z)U - X -

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$$g \frac{p_{\theta}(u \mid x)}{q_{\xi}(u)} + \lambda \cdot d(x, g_{\phi}(u, y)) \bigg|,$$

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Relax the constrained formulation of Wyner-Ziv theorem using Lagrange multipliers:



encoder

decoder

 $L_{\mathrm{m}}(\theta, \phi, \xi) = \mathbb{E}\left[\log \frac{p_{\theta}(u \mid x)}{q_{\xi}(u)} + \lambda \cdot d(x, g_{\phi}(u, y))\right],$

quantizer de-quantizer

 $L_{\mathbf{C}}(\boldsymbol{\theta}, \boldsymbol{\phi}, \boldsymbol{\zeta}) = \mathbb{E}\left[\log \frac{p_{\boldsymbol{\theta}}(\boldsymbol{u} \mid \boldsymbol{x})}{q_{\boldsymbol{\xi}}(\boldsymbol{u} \mid \boldsymbol{y})} + \lambda \cdot d(\boldsymbol{x}, \boldsymbol{g_{\boldsymbol{\phi}}}(\boldsymbol{u}, \boldsymbol{y}))\right].$

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Relax the constrained formulation of Wyner-Ziv theorem using Lagrange multipliers:



• Define all models $p_{\theta}(u | x)$, $q_{\xi}(u)$ and $q_{\xi}(u | y)$ as **discrete** distributions with probabilities:

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• This keeps the parametric families as general as possible, and **does not impose any structure**.

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For example,
$$\frac{\partial}{\partial \theta} \mathbb{E}[l_{\theta}(x, y)] \approx \frac{1}{|B|} \sum_{(x, y) \in B} \frac{\partial l_{\theta}}{\partial \theta}$$

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To draw samples u from $p_{\theta}(u | x)$, use Gumbel-max 'trick' that is:

E. J. Gumbel, "Statistical theory of extreme values and some practical applications: a series of lectures", US Department of *Commerce*, 1954.

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 $\arg \max_{k \in 1, \dots, K} \{\alpha_k + G_k\}$.

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 - Use Gumbel-softmax 'trick' by Maddison et al.

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Gumbel-softmax 'trick'


Figure taken from C. Maddison et al., "The concrete distribution: a continuous relaxation of discrete random variables", ICLR, 2017.

• Concrete distribution (with temperature t) relaxes sampling from a discrete distribution.



- Rather than sampling an index U, sample a vector \mathbf{U} :

$$U_{k} = \frac{\exp((\alpha_{k} + G_{k}) / t)}{\sum_{i=1}^{n} \exp((\alpha_{i} + G_{i}) / t)}$$

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• As $t \to 0^+$, soft max \to arg max.

Concrete distribution \rightarrow discrete distribution. Figure taken from C. Maddison et al., "The concrete distribution: a continuous relaxation of discrete random variables", ICLR, 2017. 11

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- Consider correlation patterns of X = Y + N and Y = X + N.
- The neural compressor does not make any assumptions on the source distribution.
 - The model parameters $\{\theta, \phi, \xi, \zeta\}$ are learned in a data-driven way.

•

Results

Learned compressor recovers binning.

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Learned encoder: $u = \arg \max_{v} p_{\theta}(v | x)$

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Marginal formulation.

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Marginal formulation.

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In quadratic-Gaussian WZ setup, the optimal decoder does:

$$\hat{x} = (1 - \beta) \cdot y + \beta \cdot u,$$

where $\beta \propto \sigma_n^2$.

X = Y + N with $Y \sim N(0,1)$ and $N \sim N(0,10^{-1})$.

Learned encoder: $u = \arg \max_{v} p_{\theta}(v \mid x)$



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Recovers optimal reconstruction function.



X = Y + N with $Y \sim N(0,1)$ and $N \sim N(0,10^{-1})$.



Y = X + N with $X \sim N(0,1)$ and $N \sim N(0,10^{-2})$.



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[†]J. Whang, A. Nagle, A. Acharya, H. Kim, and A. G. Dimakis, "Neural distributed source coding", https://arxiv.org/abs/2106.02797, 2023.

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Thank you. Questions?

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